



Practitioner's Docket No. 2002DE119

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Felix W. Grimm et al.

Application No.: 10/520,838

Group No.:

Filed: January 7, 2005

For: Novel Diketopyrrolopyrrole Pigments

**Commissioner for Patent**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

## INFORMATION DISCLOSURE STATEMENT

**CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\***  
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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1.  Enclosures accompanying this Information Disclosure Statement are:
  - 1a.  Form PTO-1449.
  - 1b.  Copies of Information Disclosure Statement citations.
  - 1c.  PCT Search Report
  - 1d.  Copies of Co-pending U.S. Applications.
2.  This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3.  This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4.  This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.  This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6.  This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

Applicant Felix W. Grimm et al.:

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7.  The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8.  In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

  
\_\_\_\_\_  
Anthony A. Bisulca  
Registration No. 40,913

**CUSTOMER NO. 25,255**

Clariant Corporation  
Industrial Property Department  
4000 Monroe Road  
Charlotte, North Carolina 28205  
Telephone: 704/331-7151  
Facsimile: 704/331-7707



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))		ATTY. DOCKET NO. 2002DE119	SERIAL NO. 10/520,838
		APPLICANT: Felix W. Grimm et al.	
		FILING DATE: 1/7/2005	GROUP:

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Dte	Patentee	Class	Subclass	Filing Date If Appropriate
AA	6207697	3/27/01	Han et al.			
AB	5560760	10/1/96	Toeppen			
AC	6566519	5/20/03	Nickel et al.			
AD	6723138	4/20/04	Nickel et al.			
AE	4579949	4/1/86	Rochat et al.			
AF	4585878	4/29/86	Jost et al.			
AG	4666455	5/19/87	Jost et al.			
AH	5817832	10/6/98	Wallquist et al.			
AI	4613669	9/23/89	Cassaret al.			
AJ	4415685	11/15/83	Iqbal et al.			
AK	4490542	12/25/84	Iqbal et al.			
AL						

## FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

	DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
BA	EP 0094911	11/23/83	EPO			See AE above
BB	EP 0133156	2/13/85	EPO			See AF & AG above
BC	EP 0755933	1/29/97	EPO			See AH above
BD	EP 0098808	1/18/84	EPO			See AI above
BE	EP 0061426	9/29/82	EPO			See AJ & AK above
BF	WO 0034248	6/15/00	WIPO			English
BG						
BH						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

CA	PCT ISR for PCT/EP 03/05968, 9/24/2003		
CB	English Translation of PCT IPER for PCT/EP 03/05968, mailed 3/20/2005		
CC			
CI			

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.